

Maine Revised Statutes
Title 32: PROFESSIONS AND OCCUPATIONS
Chapter 99: FINANCIAL PLANNERS

§9751. DECLARATION OF PURPOSE

It is the purpose of this chapter to assure that when a financial planner makes a recommendation to a consumer on investment of financial resources, the planner makes full disclosure of any financial interest he may have in recommending certain investments. A consumer can make truly informed investment decisions only if he has full information on the planner's biases and interests. [1985, c. 235, (NEW).]

SECTION HISTORY

1985, c. 235, (NEW).

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